

October 1, 2020

To whom it may concern

Sumitomo Mitsui Trust Holdings, Inc.

**Notice regarding Participation in the 1st Anniversary Event
of the Principles for Responsible Banking**

Sumitomo Mitsui Trust Holdings, Inc. (Director, President: Tetsuo Ohkubo, hereinafter “SuMi TRUST Holdings”) became one of the Founding Signatories of the Principles for Responsible Banking (hereinafter “PRB”), which banking industry collaborates globally to promote sustainability, one year ago, on 22 September 2019. Signatories commit to align their business strategy and practice with the Sustainable Development Goals (SDGs) and the goals of the Paris Climate Agreement. Since its foundation, the number of signatories has grown from 130 to nearly 200 banks, representing around 40% of global banking assets (equivalent to the assets of more than 1.6 billion customers worldwide) and developing steadily.

To mark 1-year anniversary, the specific efforts of the signatories were shared in the form of 10 interview-style digital contents and released by UNEP FI (United Nations Environment Program Finance Initiative), which serves as the secretariat for the PRB. Some of the content that Tsukasa Kanai, Chief Sustainability Officer of SuMi TRUST Holdings, commented on the significance of this principle from the perspective of banking business is also adopted in one of the contents, "[Clients and Customers](#)".

Founding Signatory of:



As the founding signatories of the PRB, SuMi TRUST Holdings has made every effort to utilize the significant expertise and comprehensive capabilities of a trust bank group to support the creation of new business models among our clients and to find solutions to the issues expressed in the SDGs and the Paris Agreement. As one example of our activities, SuMi TRUST Holdings concluded the world’s first Positive Impact Finance (with unspecified use of funds) (hereinafter “PIF”) loan agreement with a business in which the use of loaned funds is unspecified. Through PIF SuMi TRUST Holdings comprehensively analyze and assess the impact of a client’s corporate activities on the environment, society and economy. We then work together with the client to set goals that they commit to achieving so that both parties can overcome various social issues and work towards realizing a sustainable society. Many clients have expressed a keen interest in this finance and we are steadily building a track record of transactions. In this way, we will continue to create positive impact on society through the corporate activities of our clients.

From 2021, each signatory must disclose its annual reporting against the requirements set out in the official Principles for Responsible Banking Framework documents: the Key Steps to be implemented by Signatories and

Reporting and Self-Assessment Templates. We plan to carry out this annual reporting in an ESG report scheduled to be published later this year.

End

For further information, please contact:

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